

PATENT 01985-P0032A WJS/WWW/DDH

Applicants:	Bernard A. Weinstein, et al.	
Serial No.: Pending	Filing Date: May 23, 1997	
Title of Application:	Market Data Notification System	

Box Patent Application Commissioner of Patents and Trademarks Washington, DC 20231

## **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of the following inventor(s): Bernard A. Weinstein, Steven Bongiovanni, and Kevin J. Flynn for **Market Data Notification System.** 

- 1. **Type of Application**. This new application is for an Original Application.
- 2. **Papers Enclosed** Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) Application:

Specification - 17 pages Abstract - 1 page

Claims - 5 pages Drawings - 6 sheets

3. Fee Calculation (37 CFR 1.16). This is a Regular Application.

Claims as Filed			
Number Filed	No. Extra	Rate	Fee
Basic Filing Fee			\$ 770.00
Total Claims 17 minus 20	= 0	@\$22	0.00
Independent Claims: 4 minus 3	= 1	@\$80	80.00
Multiple Dependent Claims	0	@ \$260	0.00
Fee Calculation			\$ 850.00

Express Mail Certificate: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as "Express Mail Post Office to Addressee" Mailing Label Number EM387356388US in an envelope addressed to: Assistant Commissioner of Patents; Washington, DC 202314

May, 23 1997

Fidelia K. Rice

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- 4. **Declaration or Oath** executed by inventors is enclosed.
- 5. **Inventorship Statement**. The inventorship for all the claims in this application is the same.
- 6. **Assignment**. An Assignment of the invention to The Thomson Corporation is attached.
- 7. **Language** is English.
- Fee Payment Being Made at this Time. Enclosed is payment of:

Basic filing fee \$ 770.00

One additional Independent
Claim 80.00

Recording Assignment 40.00

Total fees \$ 890.00

- 9. **Method of Payment of Fees.** Our check in the amount of \$890.00 is enclosed.
- 10. **Authorization to Charge Additional Fees**. The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this Application to Account No. 19-4516:

37 CFR 1.16(a), (f) or (g) - filing fees;

37 CFR 1.16(b), (c) or (d) - presentation of extra claims;

37 CFR 1.16 (e) surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;

37 CFR 1.17 - application processing fees

11. Instruction As to Overpayment. Please refund.

May <u>2.3</u>, 1997

Respectfully submitted,

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Wesley W. Whitmyer, Jr., Registration No. 33,558

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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## **COVERSHEET FOR 6 SHEETS OF DRAWINGS**

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